

01/22/02  
J1133 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No. 3568.076

First Inventor or Application Identifier Klotzer

Title EXPANDED POROUS THERMOPLASTIC POLYMER MEMBRANES  
AND DEVICE FOR THE PRODUCTION THEREOF

Express Mail Label No. EL915421463US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: U.S. Patent & Trademark Office  
Box Patent Application  
P.O. Box 2327  
Arlington, VA 22202

1. ☒ \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 C.F.R. 1.27
3. ☒ Specification [Total pages 18]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Federally Sponsored R&D
  - Reference to sequence listing, a table or a computer program listing
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages 1]
5. Oath or Declaration [Total Pages 4]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 C.F.R.  
§§1.53(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on
    - i. ☐ CD-ROM or CD-R (2 copies) or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English translation document (if applicable)
12. ☐ Information Disclosure Statement (IDS) / PTO 1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B) (i)  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: 1.) Check in the amount of \$ 740  
2.) Certificate of Mailing by Express Mail  
NO. EL915421463US

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76.

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/642,390

Prior application information: Examiner Bagwell, M. Group No./Art Unit 1711

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

000152

(insert customer number or attach bar code label here)

Or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

FAX

Name (print/ type)

Dennis E. Stenzel

Registration No. (Attorney/Agent)

28,763

Signature

Date

Jan. 22 '02

J1040 U.S. PTO  
10/058443  
01/22/02

# FEE TRANSMITTAL for FY 2001


Patent fees are subject to annual revision.

Complete If Known

Application Number	Divisional of 09/642,390 filed August 18, 2000
Filing Date	(concurrently herewith)
First Named Inventor	Klotzer
Examiner Name	Bagwell, M.
Group/ Art Unit	1711
Attorney Docket No.	3568.076

TOTAL AMOUNT OF PAYMENT \$740

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																											
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:</p> <p>Deposit Account Number <u>03-1550</u></p> <p>Deposit Account Name <u>Chernoff Vilhauer McClung &amp; Stenzel</u></p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 &amp; 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)	
Name (print type)	Dennis E. Stenzel	Registration No.	28,763
Signature		Telephone	(503) 227-5631
		Date	Jan. 22 '02